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# Nonlinear growth of magnetic islands by passing fast ions in NSTX

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## Outline

- Introduction
- Theoretical model
- Experimental setup
- Comparison of simulation and experiment
- Discussion and conclusion

# Do fast ions affect magnetic island growth in NSTX?

- Fusion products in reactor relevant plasma may affect NTM stability
- Study of fast ion effect on NTM requires comprehensive set of measurements
  - TRANSP can provide time-dependent thermal and fast ion profiles self-consistently
- We show that passing fast ions can open gate for magnetic island growth in NSTX
- We assume single fluid model and fit modified Rutherford equation coefficients
  - Kinetic neoclassical polarization current theory [1] is developed from single fluid model
  - Modified Rutherford equation coefficients represent measurement uncertainties

[1] Cai, Nucl. Fusion **56** 126016 (2016)

# Modified Rutherford equation governs island growth physics

- Tearing mode stability index [1]: Free energy within current density profile
- Neoclassical drive term [1]: Drive caused by loss of bootstrap current
  - Correction considering electron cross field transport [2]
- Polarization current stability term [3]: Subtlety involving polarization current
  - Toroidal current with zero surface average that \*may\* stabilize and create the “gate”
  - Conceptually explains island saturation but difficult to validate experimentally
- Curvature stabilization term [4]

[1] Fredrickson *et al.*, Phys. Plasmas **7** 4112 (2000)

[2] Fitzpatrick, Phys. Plasmas **2** 825 (1995)

[3] Wilson *et al.*, Phys. Plasmas **3** 248 (1996)

[4] Gorelenkov *et al.*, Phys. Plasmas **3** 3379 (1996)

$$\frac{1}{k_r} \frac{\tau_R}{r^2} \frac{dw}{dt} = \Delta'_{m,n}(w) + k_b \left[ \frac{16J_{BS}}{s\langle J \rangle} \frac{w}{w^2 + w_d^2} \right] - k_p \left[ \varepsilon^{3/2} \frac{\rho_{\theta i}^2}{w^2} - \frac{L_{n_i}}{L_{n_h}} \frac{n_h}{n_i} \right] \frac{\beta_\theta}{w} \left( \frac{L_q}{L_p} \right)^2 - k_c \frac{\beta_\theta \varepsilon^2}{rw} \frac{L_q^2}{|L_p|} \frac{q^2 - 1}{q^2}$$

# Neoclassical polarization current is non-negligible for fast ions

- Parallel current may form to replenish lost bootstrap current [1]
  - Effectively neutral beam current drive
  - Weakened if poloidal fast ion Larmor radius is larger than the magnetic island ( $\approx$  orbit loss)
- Kinetic neoclassical polarization current is recently suggested [2]
  - Fast ion equivalent of neoclassical polarization current
  - Loss of ion  $E \times B$  drift leads to toroidal current that restores charge neutrality
  - Takes effect after the formation of island separatrix – Not a trigger mechanism!
  - Introduces ion density profile into magnetic island physics

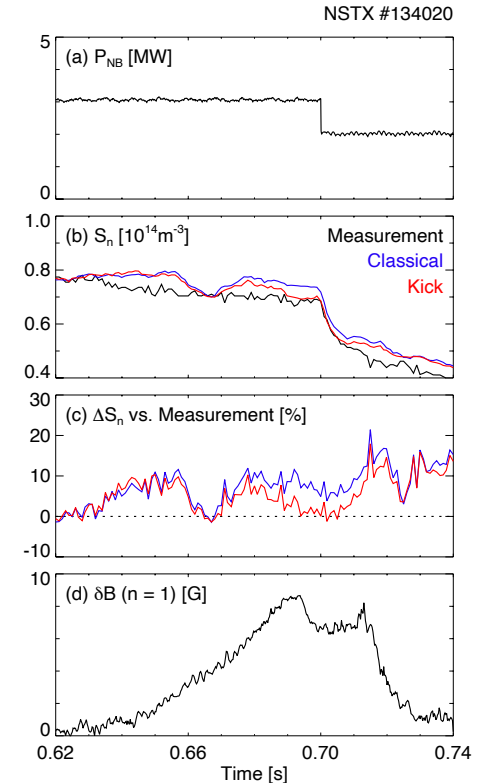
[1] Hegna and Bhattacharjee, Phys. Rev. Lett. **63** 2056 (1989)

[2] Cai, Nucl. Fusion **56** 126016 (2016)

$$\frac{1}{k_r} \frac{\tau_R}{r^2} \frac{dw}{dt} = \Delta'_{m,n}(w) + k_b \left[ \frac{16J_{BS}}{s\langle J \rangle} \frac{w}{w^2 + w_d^2} \right] - k_p \left[ \varepsilon^{3/2} \frac{\rho_{\theta i}^2}{w^2} - \frac{L_{n_i} n_h}{L_{n_h} n_i} \right] \frac{\beta_\theta}{w} \left( \frac{L_q}{L_p} \right)^2 - k_c \frac{\beta_\theta \varepsilon^2}{rw} \frac{L_q^2}{|L_p|} \frac{q^2 - 1}{q^2}$$

# Kick model is used to evaluate MHD induced fast ion transport

- NSTX #134020 is selected for analysis
  - Neutral beam heated H-mode at  $B_T = 0.44$  T and  $I_p = 0.9$  MA
  - Scenario for reliable  $n = 1$  excitation [1]
  - Neutral beam power is stepped down intentionally [1]
- Neutron rate is measured using F/G scintillator [2]
  - Simulated neutron rate using Kick model agrees better [3]
  - Experimental measurement is used as-is
  - Agreement is better considering measurement uncertainty
- Validated kick TRANSP is used for time dependent profiles



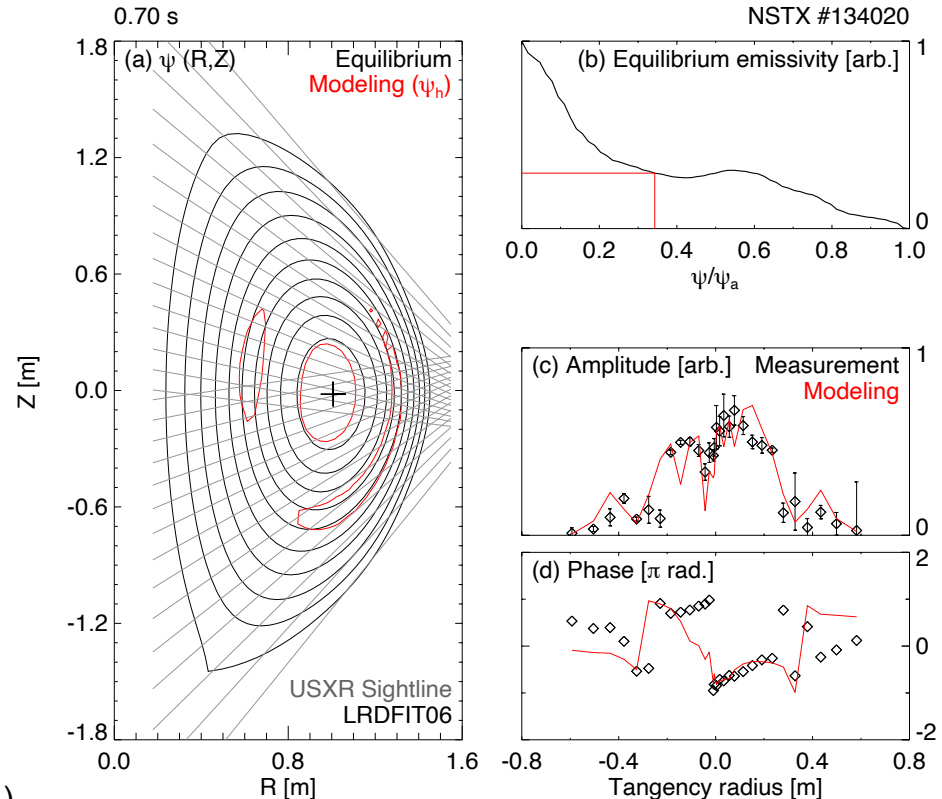
[1] La Haye *et al.*, Phys. Plasmas **19** 062506 (2012)

[2] Roquemore *et al.*, Proc. Symposium on Fusion Engineering SP1-39 (2011)

[3] Yang *et al.*, Plasma Phys. Control. Fusion **63** 045003 (2021)

# Synthetic soft X-ray diagnostic reveals $n = 1$ mode structure

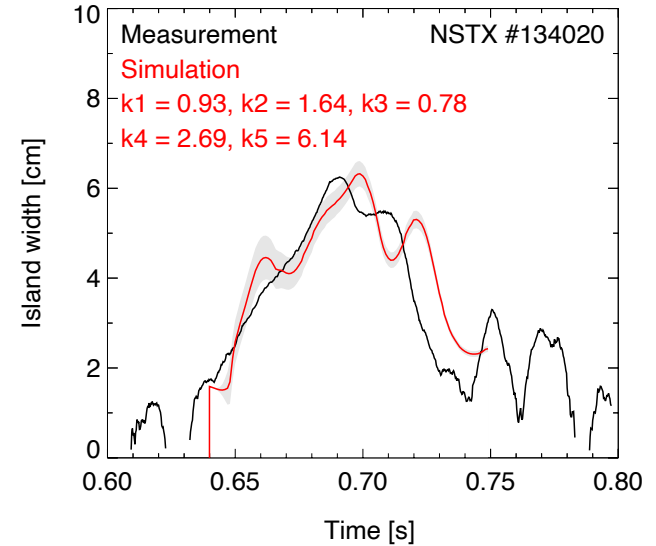
- Tomography of  $\tilde{\epsilon}_{SXR}$  is difficult
  - Forward-model line-integrated  $\tilde{\epsilon}_{SXR}$
  - Adjust mode parameters
  - Minimize difference vs. measured  $\tilde{\epsilon}_{SXR}$
- Structure of  $n = 1$  system [1]
  - Core kink mode (nonresonant)
  - Magnetic island at  $q = 2$  (i.e.,  $m = 2$ )
- Input to kick TRANSP analysis



[1] Yang *et al.*, Plasma Phys. Control. Fusion **63** 045003 (2021)

# Simulated island width is compared with experiment

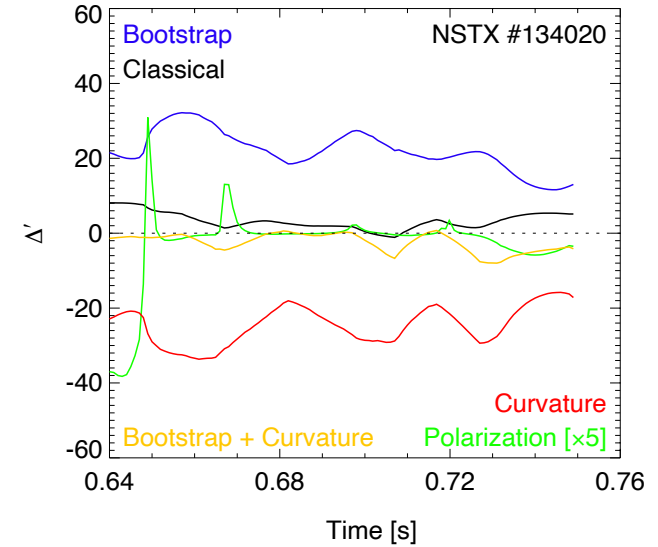
- Coefficients are adjusted to minimize difference
  - Fit result is a global minimum in optimization problem
- Onset of magnetic island is out of scope
  - Simulation starts at 0.64 s
  - Initial island width is set at 2 cm (3% of minor radius)
  - We are concerned on the factor that makes island grow
- Island grows like  $w \sim t$  (as would a classical TM)
  - Something needs to cancel out bootstrap current drive



$$\frac{1}{k_3} \frac{\tau_R}{r^2} \frac{dw}{dt} = \Delta'_{m,n}(w) + k_1 \left[ \frac{16J_{BS}}{s\langle J \rangle} \frac{w}{w^2 + w_d^2} \right] - k_2 \left[ \varepsilon^{3/2} \frac{\rho_{\theta i}^2}{w^2} - \frac{L_{n_i}}{L_{n_h}} \frac{n_h}{n_i} \right] \frac{\beta_\theta}{w} \left( \frac{L_q}{L_p} \right)^2 - k_4 \frac{\beta_\theta \varepsilon^2}{rw} \frac{L_q^2}{|L_p|} \frac{q^2 - 1}{q^2}$$

# Bootstrap drive is likely canceled out by curvature stabilization

- Dimensionless stability indices ( $\Delta'$ ) are compared
  - Curvature stabilization is large in spherical tori [1]
  - Balances bootstrap current drive
  - Helps simulate island growth like  $w \sim t$
- Classical drive decreases with time
  - Need a push to maintain slope  $dw/dt$
  - Can polarization current term provide a timely push?

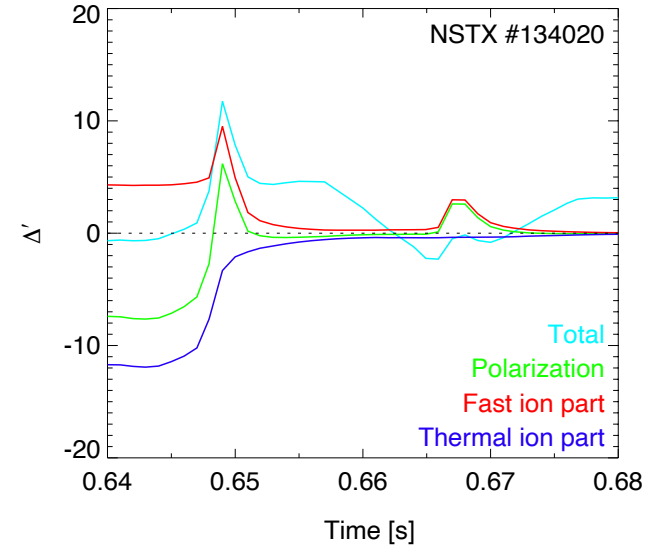


$$\frac{1}{k_3} \frac{\tau_R}{r^2} \frac{dw}{dt} = \Delta'_{m,n}(w) + k_1 \left[ \frac{16J_{BS}}{s\langle J \rangle} \frac{w}{w^2 + w_d^2} \right] - k_2 \left[ \varepsilon^{3/2} \frac{\rho_{\theta i}^2}{w^2} - \frac{L_{n_i}}{L_{n_h}} \frac{n_h}{n_i} \right] \frac{\beta_\theta}{w} \left( \frac{L_q}{L_p} \right)^2 - k_4 \frac{\beta_\theta \varepsilon^2}{rw} \frac{L_q^2}{|L_p|} \frac{q^2 - 1}{q^2}$$



# Competing fast ion part in polarization current term is essential

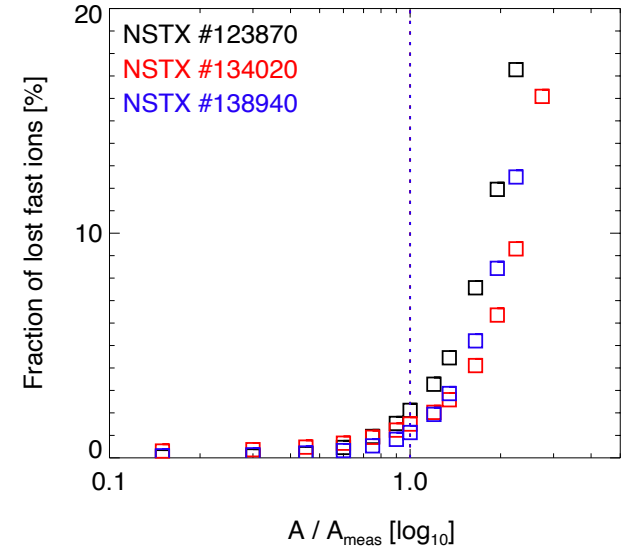
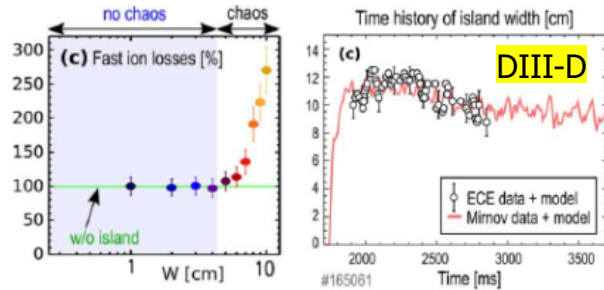
- Classical drive decreases with time
  - Fast ion part provides chance for  $\Delta'_{\text{pol}} > 0$
  - Simulation cannot follow measurement w/o fast ion part
- Spike in fast ion part is likely a numerical error
  - Ion density profile is flat near  $q = 2$  surface
  - Sometimes  $L_{n_i} \equiv n_i / \nabla n_i$  goes infinity as  $\nabla n_i \rightarrow 0$
  - Fast ion transport is typically inside  $q = 2$  surface
  - As a result,  $L_{n_h}$  does not go infinity, resulting in  $\Delta'_u$  spike



$$\frac{1}{k_3} \frac{\tau_R}{r^2} \frac{dw}{dt} = \Delta'_{m,n}(w) + k_1 \left[ \frac{16J_{BS}}{s\langle J \rangle} \frac{w}{w^2 + w_d^2} \right] - k_2 \left[ \varepsilon^{3/2} \frac{\rho_{\theta i}^2}{w^2} - \frac{L_{n_i}}{L_{n_h}} \frac{n_h}{n_i} \right] \frac{\beta_\theta}{w} \left( \frac{L_q}{L_p} \right)^2 - k_4 \frac{\beta_\theta \varepsilon^2}{rw} \frac{L_q^2}{|L_p|} \frac{q^2 - 1}{q^2}$$

# Island saturates at orbit stochasticization threshold in NSTX

- Three NSTX discharges have different  $q$  profiles [1]
  - As mode amplitude is scanned beyond measured...
  - Fast ion transport starts to increase rapidly
  - At DIII-D, threshold was at  $A / A_{\text{meas}} \ll 1$  [2]

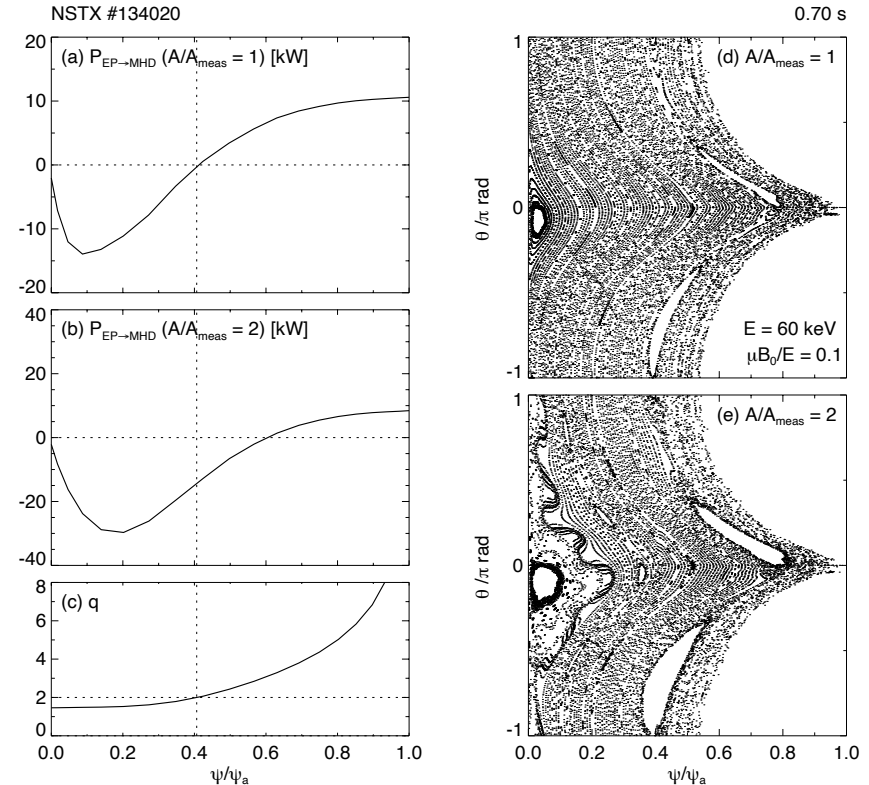


[1] Yang *et al.*, Plasma Phys. Control. Fusion **63** 045003 (2021)

[2] Bardoczi *et al.*, Plasma Phys. Control. Fusion **61** 055012 (2019)

# Energy exchange profile shows fast ions taking energy from TM

- Stochastic transport is confirmed
  - Transport increases when...
  - KAM surfaces “start to” break [1]
- More fast ion transport for bigger island
  - Causes loss of passing fast ions
  - Less kinetic neoclassical polarization current
  - Island drive is reduced & growth saturates



[1] Collins *et al.*, Phys. Rev. Lett. **116** 095001 (2016)

# Passing fast ions may be essential for island growth in NSTX

- Passing fast ions is essential to opening the gate for island growth in NSTX #134020
  - Kinetic neoclassical polarization current provides valuable degree of freedom for NTM drive
  - Quantitative analysis of fast ion effect on NTM stability can be done using TRANSP
- Island growth saturation at orbit stochastization threshold is observed
  - Qualitative agreement with passing fast ion induced island drive theory
- Future work includes...
  - Further exploration into NSTX NTM database
  - Benchmark of classical  $\Delta'$  calculation using STRIDE [1]
  - Comparison with DIII-D experiment result: Less fast ion contribution is expected in DIII-D

[1] Glasser and Koleman, Phys. Plasmas **25** 082502 (2018)

# Questions remain due to uncertainty in island rotation direction

- Doppler shift makes measurement of island rotation frequency difficult
  - Island rotation at plasma frame is small, whereas Doppler shift (noise) is large [1]
  - Islands may change directions by turbulence [2]
- Assuming island rotates in ion diamagnetic direction ( $\omega' < 0$ ):
  - Polarization current is stabilizing, giving rise to explanation to observed island saturation [3]
  - Kinetic neoclassical polarization current is destabilizing [4]
  - However, this contradicts previous assessment [5]

[1] La Haye *et al.*, Phys. Plasmas **10** 3644 (2003)

[2] Hornsby *et al.*, Plasma Phys. Control. Fusion **58** 014028 (2016)

[3] Wilson *et al.*, Phys. Plasmas **3** 248 (1996)

[4] Cai Nucl. Fusion **56** 126016 (2016)

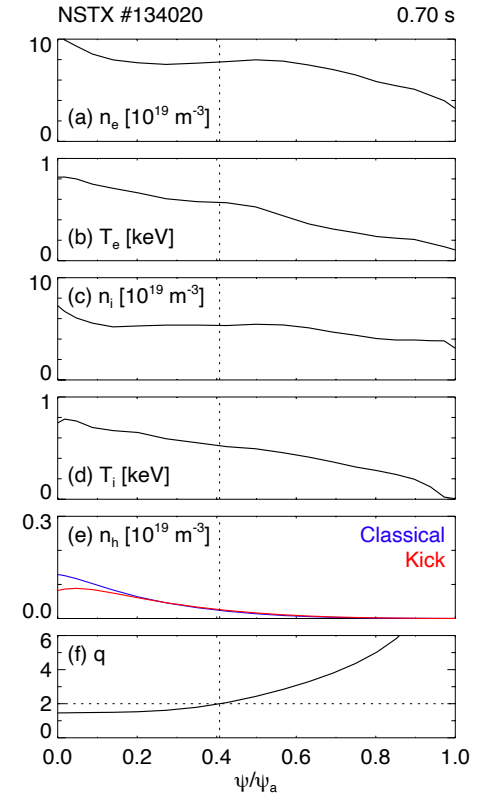
[5] La Haye *et al.*, Phys. Plasmas **19** 062506 (2012)

$$\Delta'_{pol} = -\epsilon^{3/2} \left( \frac{L_q}{L_p} \right) \frac{\rho_{\theta i}^2}{w^2} \frac{\beta_{\theta}}{w} \frac{\omega'(\omega' - \omega_{*i})}{\omega_{*e}^2}$$

$$\Delta'_{kin.nc-pol} = -\frac{\beta_{\theta}}{w} \left( \frac{L_q}{L_p} \right) \frac{L_{ni}}{L_h} \frac{n_h}{n} \frac{\omega'}{\omega_{*i}}$$

# TRANSP is used to calculate profiles used for this study

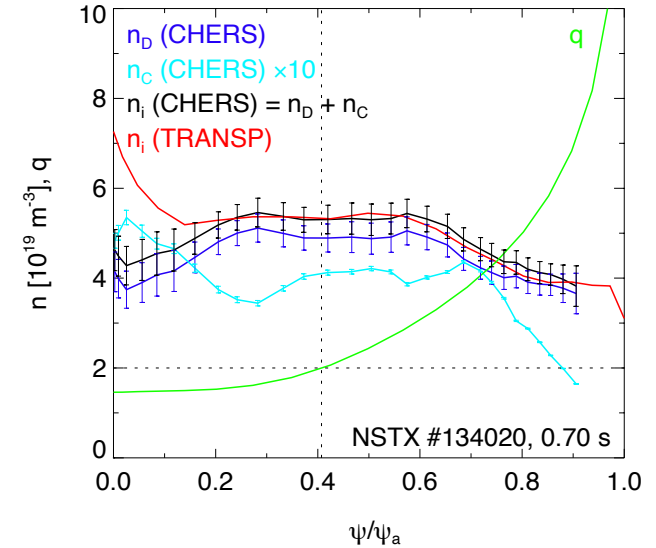
- NSTX #134020 is selected for analysis
  - Neutral beam heated H-mode at  $B_T = 0.44$  T and  $I_p = 0.9$  MA
  - Scenario for reliable  $n = 1$  excitation [1]
- TRANSP is used for profiles
  - MSE [2] constrained equilibrium [3]:  $q = 2$  at  $\psi_N = 0.4$
  - Fast ion density [4]: MHD induced transport captured at  $\psi_N < 0.4$



- [1] La Haye *et al.*, Phys. Plasmas **19** 062506 (2012)  
[2] Levinton and Yuh, Rev. Sci. Instrum. **79** 10F522 (2008)  
[3] Menard *et al.*, Phys. Rev. Lett. **97** 095002 (2006)  
[4] Podestà *et al.*, Plasma Phys. Control. Fusion **56** 055063 (2014)

# Kinetic neoclassical polarization current term introduces $n_i(\psi_N)$

- Fast ions make ion density important for NTM
- CHERS provides ion density profile [1]
  - Measures carbon density profile
  - Additional input of  $Z_{\text{eff}}$  is needed for ion density profile
  - Ion density profile is needed for  $Z_{\text{eff}}$  profile
- TRANSP validates measured  $n_i$  near  $q = 2$ 
  - Core disagreement is likely due to carbon accumulation
  - TRANSP considers CHERS + TS: Likely more accurate



[1] Podestà *et al.*, Rev. Sci. Instrum. **79** 10E521 (2008)

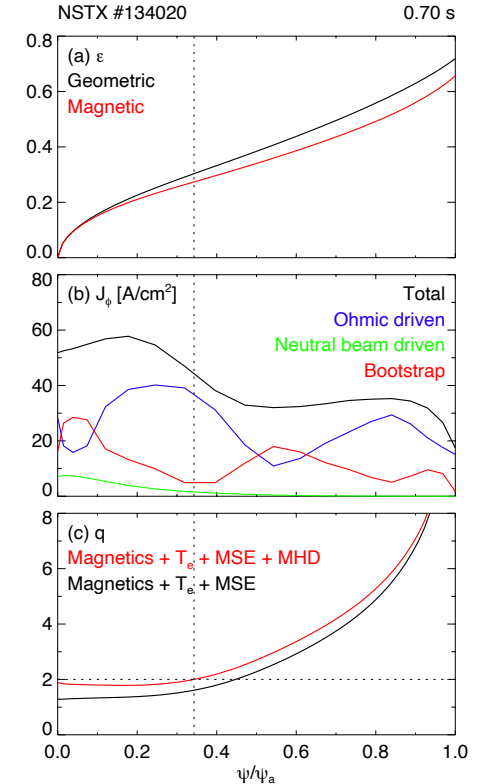
$$\frac{1}{k_r} \frac{\tau_R}{r^2} \frac{dw}{dt} = \Delta'_{m,n}(w) + k_b \left[ \frac{16J_{BS}}{s\langle J \rangle} \frac{w}{w^2 + w_d^2} \right] - k_p \left[ \varepsilon^{3/2} \frac{\rho_{\theta i}^2}{w^2} - \frac{L_{n_i}}{L_{n_h}} \frac{n_h}{n_i} \right] \frac{\beta_\theta}{w} \left( \frac{L_q}{L_p} \right)^2 - k_c \frac{\beta_\theta \varepsilon^2}{rw} \frac{L_q^2}{|L_p|} \frac{q^2 - 1}{q^2}$$

# Special considerations are made for the simulation

- Geometric and magnetic  $\varepsilon$  are different in spherical tori
  - Rigorously, toroidal effects come from magnetic  $\varepsilon_B$  [1]

$$\varepsilon_B \equiv \frac{B_{in} - B_{out}}{B_{in} + B_{out}}$$

- Bootstrap current is calculated from NCLASS model [2]
  - Evolution of  $n_e$  and/or  $T_e$  is not the same as that of  $\beta_\theta$  [3]
- Island location is used as extra constraint for  $q$



[1] La Haye *et al.*, Phys. Plasmas **19** 062506 (2012)

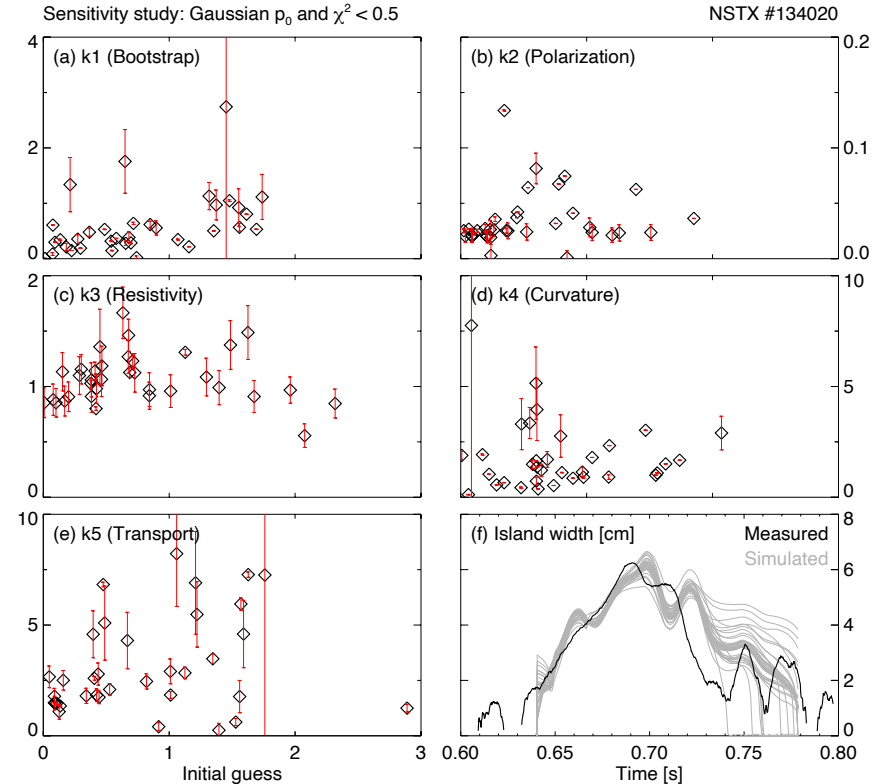
[2] Houlberg *et al.*, Phys. Plasmas **4** 3230 (1997)

[3] Fredrickson *et al.*, Phys. Plasmas **7** 4112 (2000)



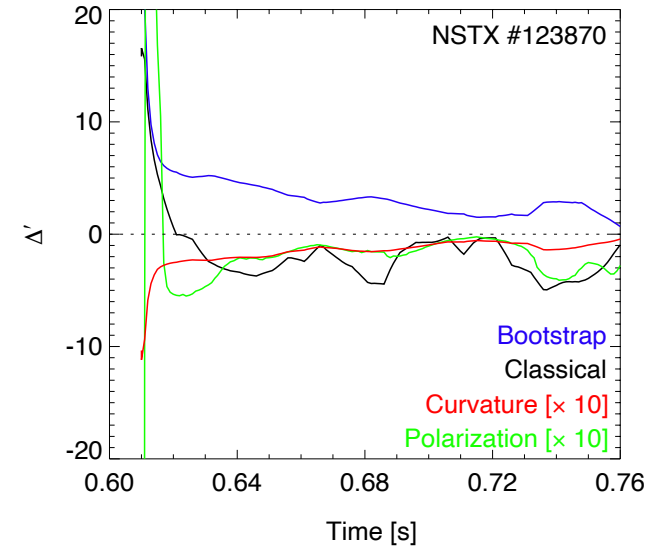
# Fit result is a global minimum in optimization problem

- Optimization problem can converge to...
  - One of the local minima
  - Global minimum
- If the solution is a global minimum...
  - Fit result would be insensitive to initial guess
  - Box scatter in result vs. input graph
  - All coefficients show box scatter
- Coefficient k5 has large uncertainty
  - Reasonable
  - Involves cross field diffusion term



# Some physics are missing in the model

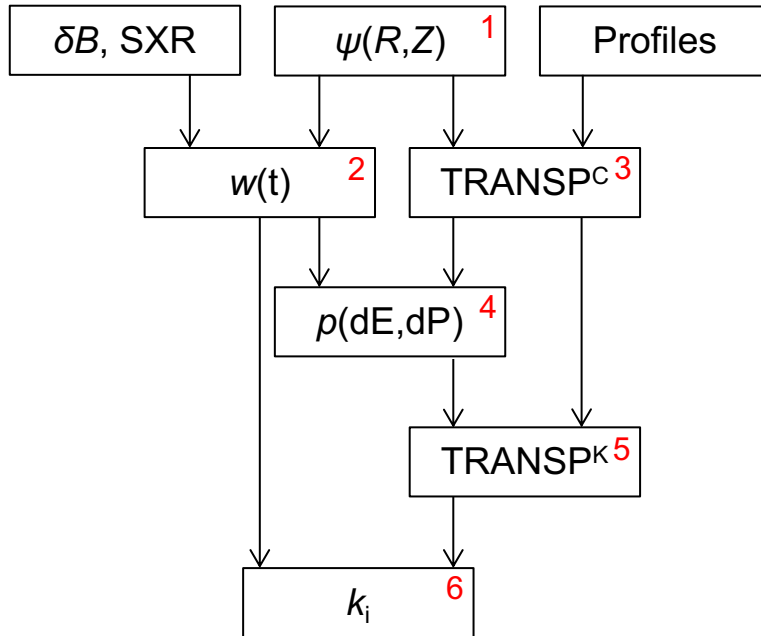
- Parallel current effect when island grows larger
  - Poloidal Larmor radius for beam ions in NSTX  $\leq 15$  cm
- Different sources of bootstrap current [1]
- Effect of island rotation
- In NSTX #123870, classical  $\Delta'$  drops negative
  - Need more freedom in driving terms
  - At low magnetic shear, trapped fast ions may affect classical  $\Delta'$  [2]



[1] Gorelenkov *et al.*, Phys. Plasmas **3** 3379 (1996)

[2] Halfmoon and Brennan, Phys. Plasmas **24** 062501 (2017)

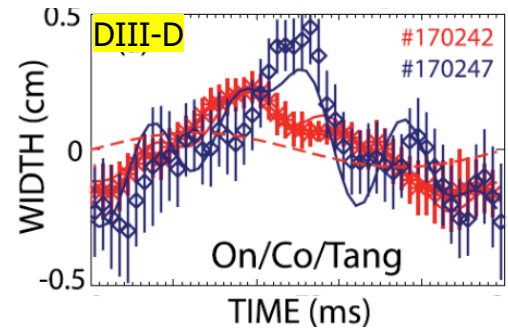
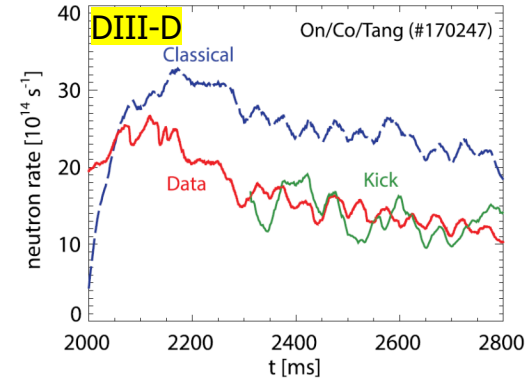
# NTM-GRE analysis procedure has been developed



1. Run EFIT
  2. Run NTM-SXR
    - Determine [tnorm] and [tscale]
  3. Run TRANSP (classical)
  4. Run ORBIT
  5. Run TRANSP (kick)
    - Determine go/rerun based on [Sn]
  6. Run NTM-GRE
- Measured:  $\delta B$ , SXR, and other profiles
  - Total 6 steps and 2 decision points

# Fast ions interact with NTM as they do with AE

- Fast ions interact with Alfvén eigenmodes (AEs) [1]
- Fast ions interact with neoclassical tearing modes (NTMs)
  - NTMs cause fast ion transport
  - Model validated qualitatively [2] and quantitatively [3]
  - NTM chirp is correlated with fast ion activity [4]
  - Model validation inconclusive [3]
- Use TRANSP to study fast ion effect on NTM stability



[1] Podestà *et al.*, Plasma Phys. Control. Fusion **59** 095008 (2017)

[2] Zweben *et al.*, Nucl. Fusion **39** 1097 (1999)

[3] Heidbrink *et al.*, Nucl. Fusion **58** 082027 (2018)

[4] Fredrickson, Phys. Plasmas **9** 548 (2002)

# Island width is calculated from Mirnov coil, EFIT, and SXR data

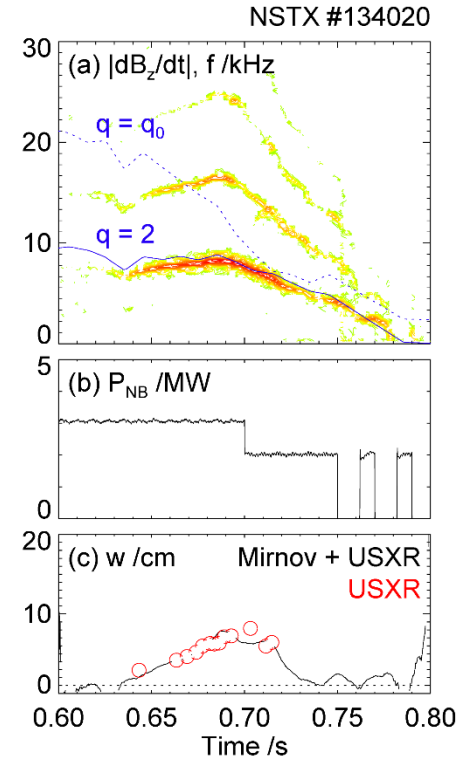
- TRANSP provides time-dependent solution
  - Time-dependent input is provided for NTM parameters

- Island width time evolution  $w(t)$  is [1]:

$$w^2 = g(rb_r q / mB_\theta q')$$

where it relates Mirnov signal by  $b_r \approx (1/2)(r_w/r)^{m+1}b_\theta$  [2]

- From linear, cylindrical, ideal, low- $\beta$  tearing mode equation
- Constant  $g$  accounts for simplifications
  - Determined by scaling to synthetic SXR diagnostics [3]



[1] Chang *et al.*, NF **34** 1309 (1994)

[2] La Haye *et al.*, PoP **7** 3349 (2000)

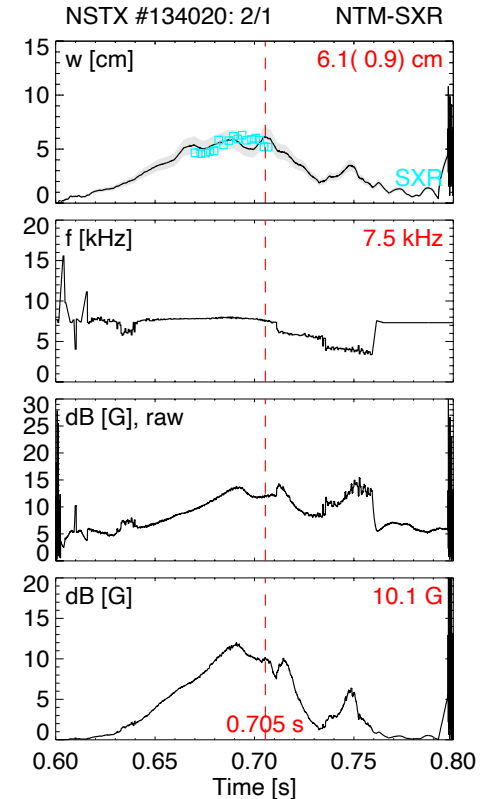
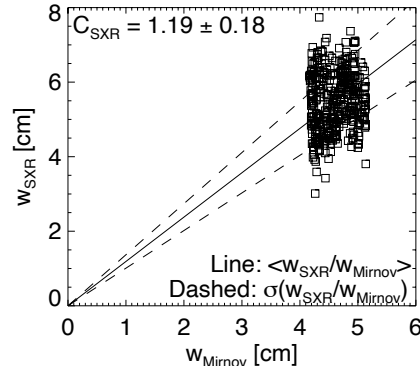
[3] Yang *et al.*, Plasma Phys. Control. Fusion **63** 045003 (2021)

# Magnetic island width and its error bar are determined from SXR

- Island width is related to Mirnov coil signal

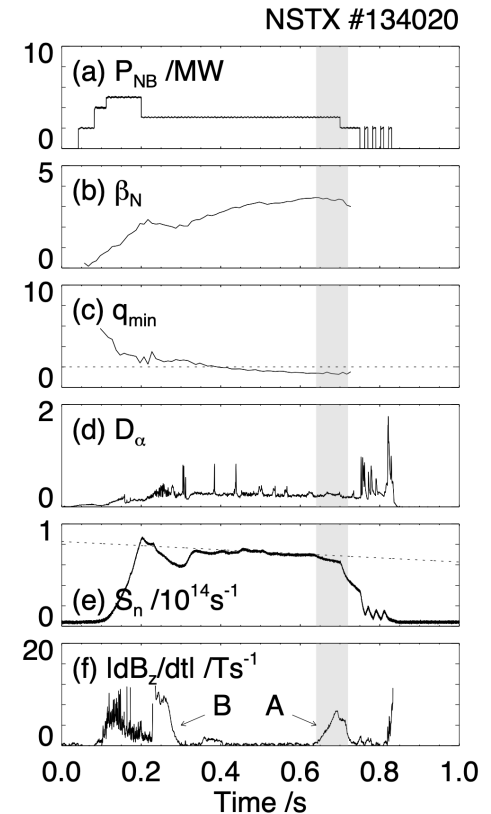
$$w = C w_{\text{Mirnov}}$$

- Assuming SXR points are true,  $C = \langle w_{\text{SXR}} / w_{\text{Mirnov}} \rangle$
- Standard deviation determines error  $\delta C = \sigma(w_{\text{SXR}} / w_{\text{Mirnov}})$
- Error bar is therefore  $\delta w = 2\sigma w_{\text{Mirnov}}$
- Take maximum error bar as a representative value



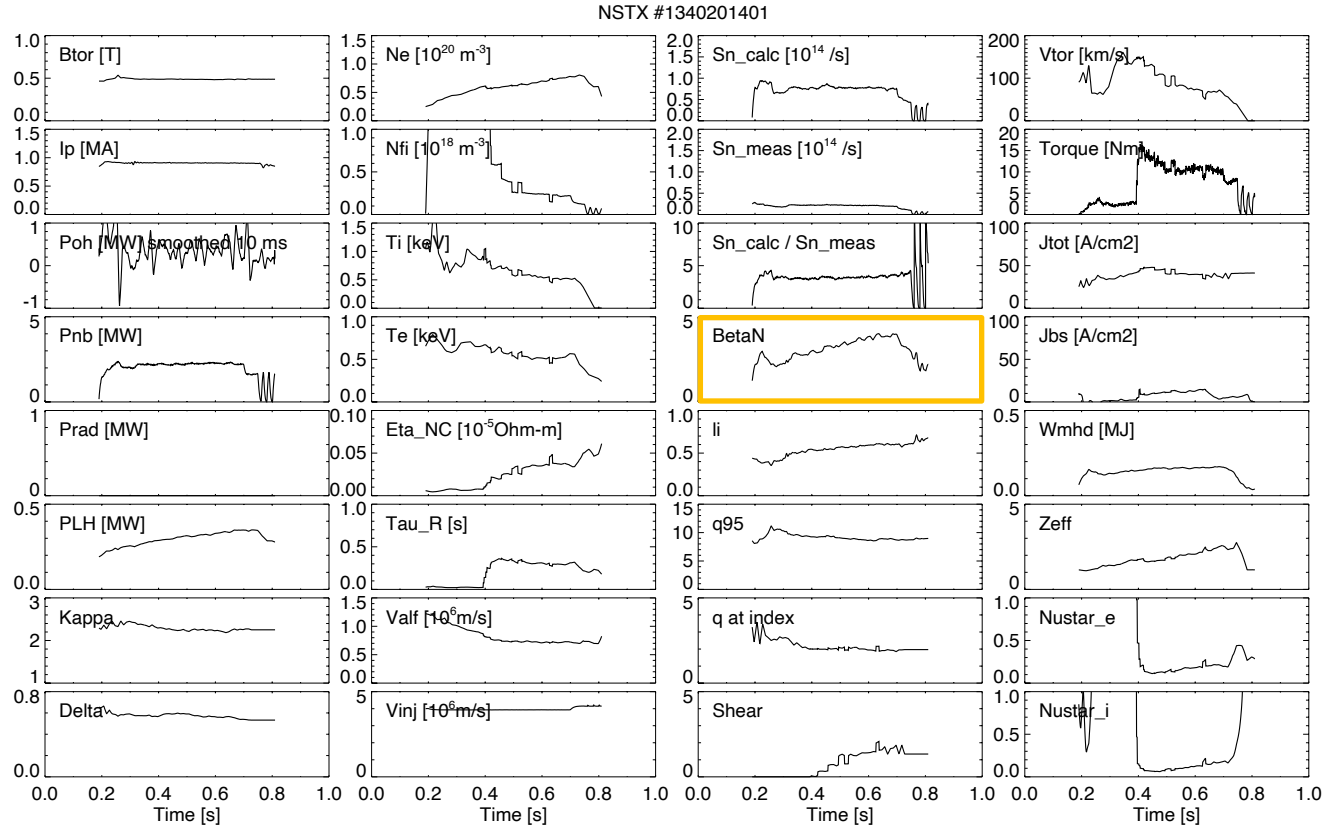
# What is triggering the NTM?

- NSTX is transient –  $\beta_N$  is still rising
  - ELM free
  - Sawteeth free ( $q_{\min} > 1$ )
  - The “spontaneous” NTM seen in TFTR [1]



[1] Fredrickson, Phys. Plasmas **9** 548 (2002)

# Beta N growth might have “triggered” the NTM (triggerless NTM)





# Does island grow like classical tearing mode in NSTX?

- Consider modified Rutherford equation

$$\frac{\tau_R}{r^2} \frac{dw}{dt} = \Delta'_{m,n}(w) + \frac{16J_{BS}}{s\langle J \rangle} \frac{1}{w}$$

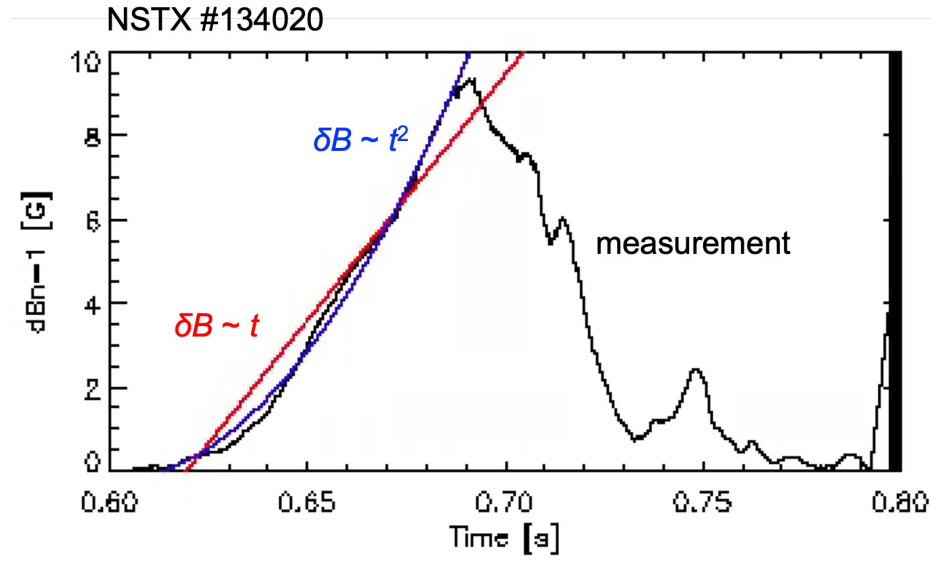
- When classical term dominates

$$w \sim Ct$$
$$\delta B \sim Ct^2$$

- When bootstrap current term dominates

$$w \frac{dw}{dt} \sim N$$
$$w^2 \sim Nt$$
$$\delta B \sim Nt$$

- Consider  $w \sim \sqrt{\delta B}$  for the last lines



# On calculation of classical delta prime

- Tearing mode equation is solved for helical flux functions
  - Considered island width dependence [1]
  - Considered reversed shear plasmas [2]
  - Considered interaction with walls [3]

[1] White *et al.*, Phys. Fluids **20** 800 (1977)

[2] Fredrickson *et al.*, Phys. Plasmas **7** 4112 (2000)

[3] Nave and Wesson, Nucl. Fusion **30** 2575 (1990)

# Previous assessment of island frequency in plasma frame [1]

TABLE I. Evaluation of sources of small island effects at the marginal point for  $m/n = 2/1$ .

	NSTX #134020	DIII-D #133577	DIII-D #135861
$\varepsilon^{1/2}$	0.567	0.478	0.402
$w_{\text{marg}}(\text{cm})$	3.42	2.76	1.71
$w_{bi} = \varepsilon^{1/2} \rho_{\theta i}$	1.40	0.89	0.99
$w_d(\text{cm})$	1.07	1.03	0.82
$(v_i/\varepsilon)/ \omega_e^* $	0.84	2.73	1.09
$\omega/\omega_i^*$	$0.23 \pm 0.37$	$-0.20 \pm 0.38$	$-0.07 \pm 0.30$
$(3L_q/L_{pe})^{1/2} w_{bi}$	3.01	1.52 (4.60) <sup>a</sup>	2.16

<sup>a</sup>High collisionality is enhanced by  $\varepsilon^{-3/4}$ .

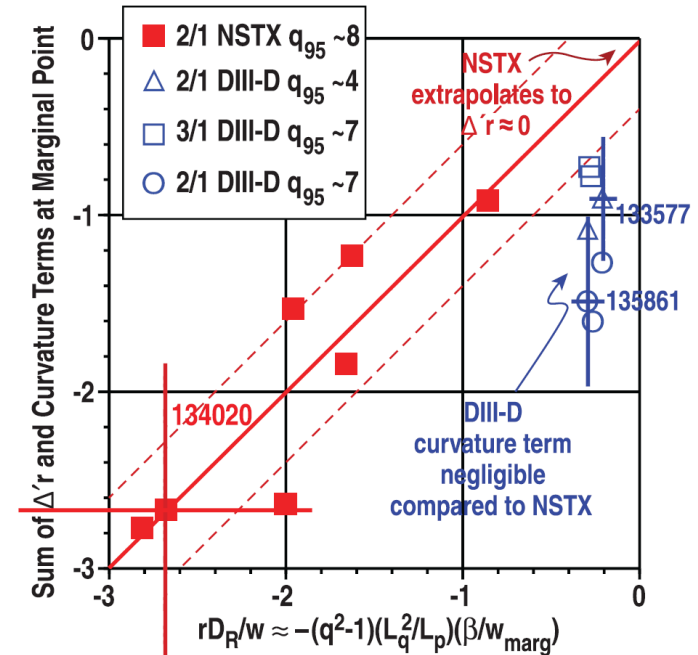
# On curvature term in spherical tori

- Consider that relative strength  $D_R/D_{NC}$  is like  $f(\varepsilon)$ 
  - Smaller for DIII-D but significant for NSTX
  - Assuming  $q \approx \varepsilon(B_T/B_\theta)(1 + \kappa^2)/2$
  - Curvature term must be included for ST [1]

$$k_3 \frac{\tau_R}{r} \frac{dw}{dt} = \left[ \Delta' + \frac{rD_R}{w} + \frac{rD_{NC}}{w} \right] r$$

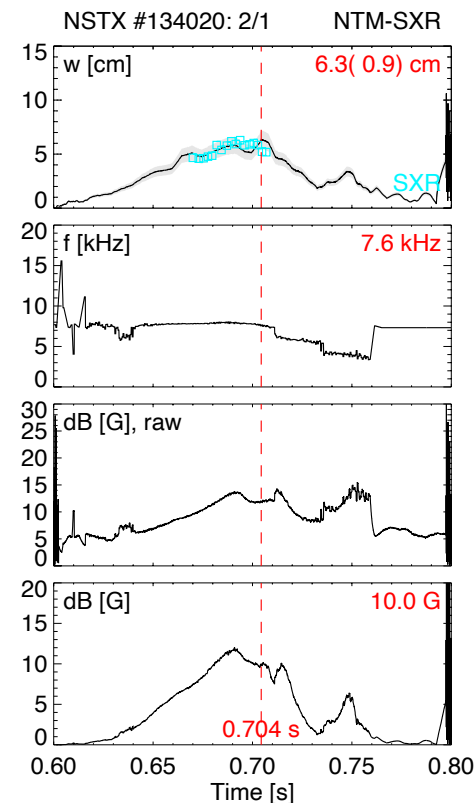
- Standard approximation works: See figure

$$D_R \approx -(q^2 - 1)(L_q^2/rL_p)\beta$$



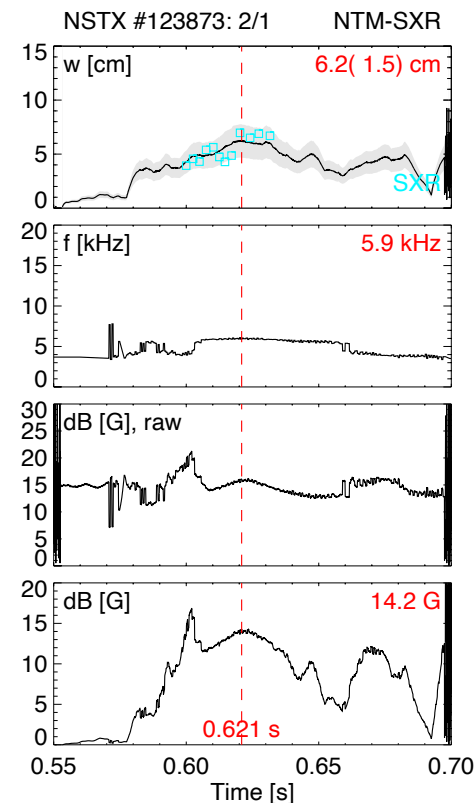
# NSTX #134020

- H-mode with reliable rotating  $n = 1$  onset
  - $I_p = 0.9$  MA,  $B_T = 0.44$  T
  - $P_{NB}$  steps down from 3 to 2 MW at 0.7 s
  - Lithiumization and  $n = 1$  and  $n = 3$  error field correction
- SXR/Mirnov conversion factor is  $C_{SXR} = 1.17 \pm 0.16$
- Rotating  $n = 1$  saturates at 6.3 cm
  - Onset at around 0.63 s when  $\delta B = 1$  G
  - Peak at 0.704 s when  $\delta B = 10$  G
  - Rotation is steady at 7.6 kHz ( $q = 2$  from LRDFIT06)



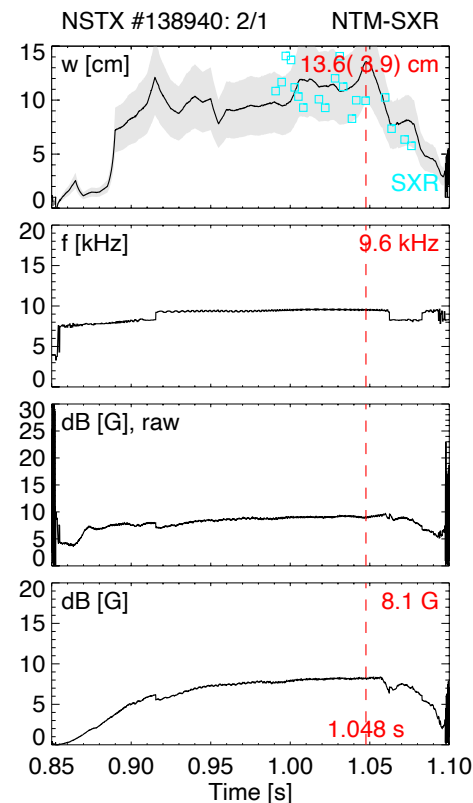
# NSTX #123873

- Lower rotation
  - $I_p = 1.0$  MA,  $B_T = 0.44$  T
  - $P_{NB}$  steps down from 4 to 2 MW at 0.6 s
- SXR/Mirnov conversion factor is  $C_{SXR} = 1.91 \pm 0.47$
- Rotating  $n = 1$  saturates at 6.2 cm
  - Onset at around 0.58 s when  $\delta B = 1$  G
  - Peak at 0.621 s when  $\delta B = 14.2$  G
  - Rotation is steady at 5.9 kHz ( $q = 2$  from LRDFIT06)



# NSTX #138940

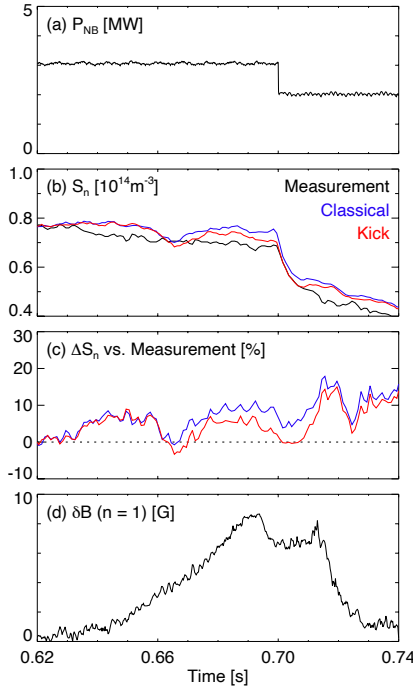
- High-triangularity, low-elongation
  - $I_p = 0.8$  MA,  $B_T = 0.44$  T
  - $P_{NB} = 4$  MW is modulated by  $\beta_N$  controller
- SXR/Mirnov conversion factor is  $C_{SXR} = 1.25 \pm 0.36$
- Rotating  $n = 1$  saturates at 13.6 cm
  - Onset at around 0.87 s when  $\delta B = 1$  G
  - Peak at 1.048 s when  $\delta B = 8.1$  G
  - Rotation is steady at 9.6 kHz ( $q = 2$  from LRDFIT06)



# Mode amplitude may have been underestimated by 20%

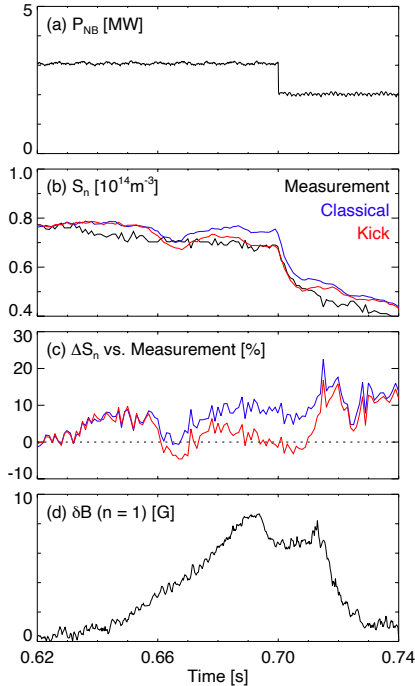
ascale =  $(1.1)^2$

NSTX #134020



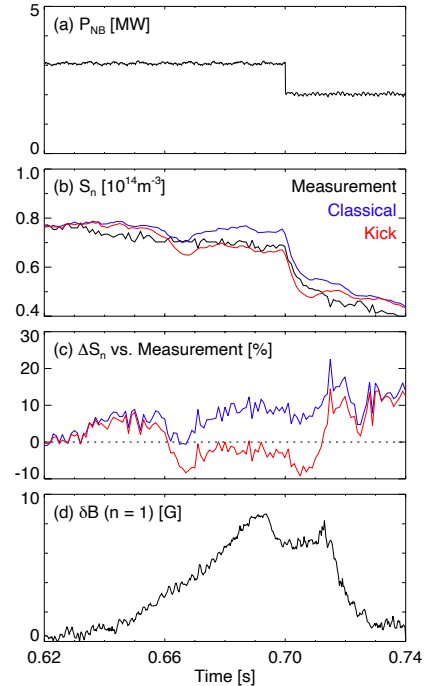
ascale =  $(1.2)^2$

NSTX #134020



ascale =  $(1.3)^2$

NSTX #134020



Measured island width is 6.3 cm, 20% is 1.2 cm (smaller than SXR resolution)